

DNB Bank ASA Securities Services

Cross border programs and procedures

General Information:

This document describes the procedures for cross border instructions where DNB Bank ASA, Securities Services is the issuer and cross border agent, and covers both shares and NDR (Norwegian Depositary Receipt) programs. The document should be read carefully and understood before initiating a cross border instruction.

Section 1 - Initiating a conversion:

To move -securities between the home and remote market, participants must initiate the cross border via deliver/receive free settlement instruction in the settlement system ("VPO") of the Norwegian CSD (BIC: VPSNOKKXXX). If you are not a direct participant in the settlement system, you will need to instruct a settlement participant (i.e. your custodian or broker) to initiate the cross border on your behalf, as settlement via stock dump is no longer an option. The cross-border instruction must contain the following to be processed by DNB:

Information	Sequence	Instruction tag	Remarks
Direction	Header Block 2	Instruction message type (MT540 or MT 542)	
Security	B	:35B::ISIN XXXXXXXXXXXXX	
Quantity	C	:36B::SETT//UNIT/XXXX,	
Trade date		:98A::TRAD//YYYYMMDD	
Settlement date	B	:98A::SETT//YYYYMMDD	Instructions quoting settlement date in the past will not be processed
Agent	E1	:95R::DEAG/VPSN/07004 OR :95R::REAG/VPSN/07004	
Counterparty	E1	:95P::SELL//DNBANOKCXXX OR :95P::BUYR//DNBANOKCXXX	
Counterparty account	E1	Shares: :97A::SAFE//070040045190 NDR: :97A::SAFE//070040045224	Please refer to list of shares and NDR instruments in section 3 table below
Narrative	E1	Format containing your SSI in the home market: :70D::REGI//Place of Settlement BIC/Local Agent BIC or DSS/Counterparty BIC /Counterparty Account Examples: :70D::REGI//DTCYUS33XXX/0902/CHASGB2LXXX /123456 :70D::REGI//DAKVDEFFXXX/CITIDEFFXXX/CITIGB2LXXX /123456789	



Information	Sequence	Instruction tag	Remarks
Narrative if home market of security is Euroclear UK (CRSTGB22XX)	E1	Format containing your SSI in the home market: :70D::REGI//Place of Settlement BIC/Local agent DSS/Beneficiary BIC /Account (only "/" if not applicable) /Stamp Duty Confirmation Examples: <i>SDRT eligible security, account number</i> :70D::REGI//CRSTGB22XXX/BA01F/CITIGB2LXXX /123456 /STAMP/YES <i>Not SDRT eligible security, account number</i> :70D::REGI//CRSTGB22XXX/BA01F/CITIGB2LXXX /123456 /STAMP/NA <i>Not SDRT eligible security, no account number</i> :70D::REGI//CRSTGB22XXX/BA01F/CITIGB2LXXX / /STAMP/NA	SDRT confirmation, either "/STAMP/YES" or "/STAMP/NA" must be applied for the conversion to be initiated. /STAMP/YES: Participant confirms the security is eligible for stamp duty and will pay the stamp duty amount /STAMP/NA: Participant confirms the security is not eligible for stamp duty

Sample MT540 instruction via SWIFT/ISO15022 (conversion from home market to remote market):

```

:16R:GENL
:20C::SEME//123456789
:23G:NEWM
:98C::PREP//20231016150844
:16S:GENL
:16R:TRADDET
:98A::TRAD//20231016
:98A::SETT//20231017
:35B:ISIN BMG359472021
:16S:TRADDET
:16R:FIAC
:36B::SETT//UNIT/1,
:97A::SAFE//123456123456
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::SELL//DNBANOKCXXX
:97A::SAFE//070040045190
:70D::REGI//DTCYUS33XXX/0908/CITIGB2LXXX
/123456
:16S:SETPRTY
:16R:SETPRTY
:95R::DEAG/VPSN/07004
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//VPSNNOKKXXX
:16S:SETPRTY
:16S:SETDET
-}

```



Sample instruction via Euronext Securities Oslo GUI (cross border transfer from home market to remote market):

Trade with confirmation.

* Trade date:	<input type="text" value="10.11.2023"/>	* Settlement date:	<input type="text" value="13.11.2023"/> <small>(dd.mm.yyyy)</small>
* VPS-account number:	<input type="text" value="123456123456"/>		
* Counterpart:	<input type="text" value="07004"/>		<input type="text" value="07004 - DEMO-DNB BANK ASA"/>
Counterpart's account number:	<input type="text" value="070040045190"/>		
* ISIN/Ticker:	<input type="text" value="BMG359472021"/>		
Counterpart's VPS reference number:	<input type="text"/>		
* Sender's reference:	<input type="text" value="123456789"/>	Payment:	<input type="text" value="FREE"/>
			Hold: <input type="text" value="No"/>
* Buy/Sell:	<input type="text" value="Buy"/>	Conditions:	<input type="text" value="No"/> Incl. Dividend: <input type="text"/> Partial: <input type="text" value="No"/>
* Quantity:	<input type="text" value="1"/>	Price per unit/share:	<input type="text"/>
Trade amount:	<input type="text"/>	Commission:	<input type="text"/>
Charges/Fees:	<input type="text"/>	Accrued interest:	<input type="text"/>
Settlement amount:	<input type="text"/>	Type: <input type="text" value="TRAD-Trade"/>	Currency: <input type="text" value="NOK"/>
Narrative:	<input type="text" value="DTCYUS33XXX/0908/CITIGB2LXX"/>	Link reference:	<input type="text"/>
MIC:	<input type="text"/>	Place of settlement:	<input type="text"/> (BIC)
Safekeeping acc:	<input type="text"/>	Custodian:	<input type="text"/> (BIC)
Sell/buy text:	<input type="text"/>	BIC SELL/BUY:	<input type="text"/> (BIC)

SSI information should be included in the Narrative field as one single line. In this example the complete SSI entered in the Narrative field is **DTCYUS33XXX/0908/CITIGB2LXXX/123456**

Section 2 – Receiving and delivering shares in the home market:

Once a cross border has been initiated, you will need to instruct a corresponding transaction in the home market to complete the cross-border process. The instruction is an ordinary deliver/receive free instruction on the home market ISIN, and must match the quantity, trade date, settlement date of the instruction in section 1 (without the narrative/free text fields), facing the DNB SSI quoted in section 3 below. DNB will use your SSI quoted in the initiating instruction to match the instruction in the home market.



Section 3 – Shares and NDR instruments info and SSI:

Issuer	Home Market / Place of settlement	Type	ISIN Home Market	ISIN remote market	Agent identifier	Counterparty	Counterparty account	SDRT
Akobo Minerals AB	SE – VPCSESSXXX	Share	SE0015193412	SE0015193412	ESSESESSXXX	CEDELULLXXX	55426	NO
Alternus Energy Group Plc	IE – MGTCEBEECL	Share	IE00BLRPRP89	IE00BLRPRP89	49118	DNBANOKCXXX	49118	YES
Astrocast SA	CH - INSECHZZXXX	Share	CH1122548808	CH1122548808	CH100025	CEDELULLXXX	55426	NO
P/F Atlantic Petroleum	DK – VPDKDKKKXXX	Share	FO000A0DN9X4	FO000A0DN9X4	CEDELULLXXX	CEDELULLXXX	55426	NO
Avida Finans AB	SE – VPCSESSXXX	Share	SE0015195375	SE0015195375	ESSESESSXXX	CEDELULLXXX	55426	NO
Benchmark Holdings Plc	GB – CRSTGB22XXX	Share	GB00BGHPT808	GB00BGHPT808	56XKK	DNBANOKCXXX	49118	YES
Borr Drilling Limited	US - DTCYUS33XXX	Share	BMG1466R1732	BMG1466R1732	0908	CEDELULLXXX	089154 CSC55426	NO
BW LPG	US - DTCYUS33XXX	Share	SGXZ69436764	SGXZ69436764	0908	CEDELULLXXX	089154 CSC55426	NO
Clean Seas Seafood Ltd	AU - CAETAU21XXX	Share	AU000000CSS3	AU000000CSS3	PARBAU2SLCC	CEDELULLXXX	2014750001	NO
Contextvision AB	SE – VPCSESSXXX	Share	SE0014731154	SE0014731154	ESSESESSXXX	CEDELULLXXX	55426	NO
Cool Company Ltd	US - DTCYUS33XXX	Share	BMG2415A1137	BMG2415A1137	0908	CEDELULLXXX	089154 CSC55426	NO
Envipco Holding N.V.	DE – DAKVDEFFXXX Place of safekeeping: NECINL2AXXX	Share	NL0015000GX8	NL0015000GX8	CEDELULLXXX	DNBANOKCXXX	55426	NO
Flex LNG Ltd	US - DTCYUS33XXX	Share	BMG359472021	BMG359472021	0908	CEDELULLXXX	089154 CSC55426	NO
Hafnia Ltd	US - DTCYUS33XXX	Share	SGXZ53070850	SGXZ53070850	0908	CEDELULLXXX	089154 CSC55426	NO
Himalaya Shipping Ltd	US - DTCYUS33XXX	Share	BMG4660A1036	BMG4660A1036	0908	CEDELULLXXX	089154 CSC55426	NO
INIFY Laboratories AB	SE – VPCSESSXXX	Share	SE0017486103	SE0017486103	ESSESESSXXX	CEDELULLXXX	55426	NO
Logistea AB – A shares	SE – VPCSESSXXX	NDR	SE0017131329	NO0013291443	DNBASESXXX	DNBANOKCXXX	000153954302	NO
Logistea AB – B shares	SE – VPCSESSXXX	NDR	SE0017131337	NO0013291450	DNBASESXXX	DNBANOKCXXX	000153954302	NO
Nimutan AB	SE – VPCSESSXXX	Share	SE0001789793	SE0001789793	ESSESESSXXX	CEDELULLXXX	55426	NO
Okeanis Eco Tankers Corp	US - DTCYUS33XXX	Share	MHY641771016	MHY641771016	0908	CEDELULLXXX	089154 CSC55426	NO
Pyrum Innovations AG	DE – DAKVDEFFXXX	Share	DE000A2G8ZX8	DE000A2G8ZX8	CEDELULLXXX	DNBANOKCXXX	55426	NO
Questerre Energy	CA - CDSLCAATTXXX	Share	CA74836K1003	CA74836K1003	RBCT	CEDELULLXXX	080000630001 55426	NO
Salmones Camanchaca S.A.	CL – DCVVCLRMXXX	NDR	CL0002409135	NO0012451915	CITIUS33SAN	DNBANOKCXXX	408596	NO
SBT Properties AB	SE – VPCSESSXXX	Share	SE0016074884	SE0016074884	ESSESESSXXX	CEDELULLXXX	55426	NO
Seadrill Ltd	US - DTCYUS33XXX	Share	BMG7997W1029	BMG7997W1029	1970	MGTCEBEBEXXX	G21691 EURO49118	NO



Issuer	Home Market / Place of settlement	Type	ISIN Home Market	ISIN remote market	Agent identifier	Counterparty	Counterparty account	SDRT
SpectrumOne AB	SE – VPCSESSXXX	Share	SE0006994448	SE0006994448	ESSESESSXXX	CEDELULLXXX	55426	NO
TryggHem Projekt 1 AB	SE – VPCSESSXXX	Share	SE0014557955	SE0014557955	ESSESESSXXX	CEDELULLXXX	55426	NO
Viridien	DE – DAKVDEFFXXX Place of safekeeping: SICVFRPPXXX	Share	FR001400PVN6	FR001400PVN6	CEDELULLXXX	DNBANOKCXXX	55426	NO
Zenith Energy Limited	CA - CDSLCAATXXX	Share	CA98936C8584	CA98936C8584	RBCT	CEDELULLXXX	080000630001 55426	NO
EDR Certified Origin Physical Gold	EU – CEDELULLXXX	ETC	XS2497911219	XS2497911219	55426	55426		NO

Section 4 - Fees, cut-off, conditions, and restrictions:

- A flat cross border fee of NOK 1500 will be applied to each cross-border instruction, billed in the following month to the settlement participant that initiated the cross border, quoting the initiator's transaction reference in the initiating allegation. Both settled and cancelled cross border instructions will be subject to the transaction charge.
- Partial settlement will not be allowed for cross border instructions.
- Unmatched and unsettled cross borders will be cancelled after five business days, and if shares have been delivered to DNB, DNB will request shares to be returned to the initiating party.
- The cut-time for processing cross borders for same day settlement is 14:10 CET. Instructions received after this time will not be matched and the initiating party will need to send a new instruction with intended settlement day on a future business day.
- Instructions with intended settlement date in the past will not be processed.
- Instructions with settlement date on record date or record date -1 will not be processed.
- Instructions resulting in a CSDR Penalty (i.e Late Matching Fee or Late Settlement Fee) will be claimed by DNB via invoice along with the cross border fee starting from September 2024.

Section 5 – Cross-border settlement contact information:

Email address: ink2@dnb.no

DNB Bank ASA