

Self-declaration form for private individuals in relation to FATCA and CRS

Please read the instructions on the next page before completing the self-declaration.

Return address: DNB Bank ASA, FATCA/CRS, P.O. Box 1600 Sentrum, N-0021 Oslo Norway.

DNB Bank ASA collects the information on behalf of DNB Bank ASA, DNB Asset Management AS og DNB Livsforsikring AS. The information on the processing of your personal data can be found on page 2.

1. Information about the account holder

Please complete the fields below with information about the account holder.

Name:	Norwegian national identity number or D-number:
Address:	Postal code and city:
Country:	Date of birth:
Phone:	E-mail:

2. Information about the country where the account holder is tax resident

Please fill in all countries where the account holder is tax resident, including Norway. If you have any questions about how the tax residency is determined, please contact your local tax office or check skatteetaten.no

Country:	Tax identification number (TIN)*:

* See information about TIN on page 2

3. Citizenship

Select whether or not you are a US citizen.

- I hereby confirm that **I am** a US citizen and have specified that I am domiciled in the United States for tax purposes in part 2
- I hereby confirm that **I am not** a US citizen

US citizens are domiciled in the United States for tax purposes and are thus automatically tax resident in the United States, unless they relinquish their citizenship.

4. Self-declaration and signature

I hereby confirm that the information given in this self-declaration form is correct and that I will give DNB the information and documentation required to enable DNB to fulfil its obligations in relation to FATCA and CRS. I understand and agree that the information can be used for reporting purposes in accordance with the rules in force at any time.

If there are changes in the information, I will contact DNB within 30 days to update the self-declaration form.

Date:	Account holder's signature (the legal guardian must sign for minors):
Name in block letters:	

Return address: DNB Bank ASA, FATCA/CRS, P.O. Box 1600 Sentrum, N-0021 Oslo Norway.

Guidance and definitions

As Norway has signed international agreements on the exchange of information on financial assets, FATCA and CRS, we are required to provide information to the Norwegian Tax Administration about customers who are tax resident in one of the countries that have signed the above-mentioned agreements. The Tax Administration forwards this information to the respective countries.

All information we receive in this connection is treated confidentially in accordance with the bank's duty of confidentiality and will not be used for any other purposes.

As DNB cannot provide tax advice, we ask you to contact your local tax authorities if you have questions on how to complete the self-declaration form.

For more information about international tax reporting, see the website of the Norwegian Tax administration: International reporting (CRS and FATCA).

What is the FATCA agreement?

As Norway and the United States have entered into an agreement on the Foreign Account Tax Compliance Act (FATCA), DNB is, as a financial institution, required to report financial assets to the Norwegian tax authorities, which will forward the information to the US tax authorities.

US citizens are resident for tax purposes in the US and will be reported on the basis of their citizenship.

What is the CRS agreement?

The Common Reporting Standard (CRS) is an international framework for the automatic exchange of information on financial assets between tax authorities in different countries. More than 100 countries have signed the agreement, including Norway and all EU member states

Tax residence

In Norway you will be deemed to be a tax resident if you have stayed in the country for more than 183 days in the course of a 12-month period, or for more than 270 days during a 36-month period. If you live outside Norway, you will usually be tax resident in the country you live in. If you are in doubt about whether you are tax resident in a country, you must contact the tax authorities in the relevant country to clarify this.

Tax Identification Number, TIN

This is the number used by the tax authorities and other agencies to identify individual persons. The number will typically be found on documents received from the tax authorities, but can in some cases also be found in passports, national ID cards, driver's licences and other official documents.

If you have questions about your TIN-number, please contact the tax authorities in the relevant country.

Examples of TIN

DK: CPR number (private individuals), **SE:** Personal identity number (private individuals), **NO:** National identity number (private individuals) or D number (temporary), **FI:** Social security number (private individuals), **United States:** Social security number (private individuals).

More information about the various countries' TIN numbers can be found at www.oecd.org.

Privacy protection in DNB – this is how we process your personal information

The controller for the processing of your personal data is the company/companies in which you are a customer: DNB Bank ASA, DNB Asset Management AS and/or DNB Livsforsikring AS. DNB Bank ASA is the data processor and collects information on behalf of the controller.

The purpose of the data processor's processing of personal data on behalf of the controller is to obtain your Tax Identification Number (TIN), and to update the data we have stored about you. This information is reported to the Norwegian Tax Administration, and if you are tax resident in other countries than Norway, the Norwegian Tax Administration forwards this information to the authorities of the respective countries.

Obtaining the information is necessary to comply with the FATCA/CRS regulations. The legal basis for the processing follows from GDPR Art. 6 No. 1 letter c. The consequence of failure to provide such data is that we will be obliged to close your accounts and block your customer relationship.

You have several rights relating to the processing of your personal data, including the right of access and the right to rectification. You may request access to the personal data we have stored about you at dnb.no. You can find more information on how we process your personal data and your rights in this respect in DNB's privacy protection statement here: <https://www.dnb.no/en/about-us/protection-of-personal-privacy.html>